



ROLL

## Retirement Plan Rollover Form 2022-2023

Student's University ID: - or SIS ID:

Student's Full Name: \_\_\_\_\_  
(LEGAL NAME - PRINT) first middle last

### Purpose of Form

The Retirement Account Rollover Form is submitted so that an accurate assessment of income may be made when the Free Application for Federal Student Aid (FAFSA) indicates a rollover from one qualified retirement plan to another, especially when a student's file has been selected for federal verification.

### General Instructions

**Dependent Students:** Complete Sections I and II below, to indicate whether or not you are reporting a PARENT's and/or STUDENT's rollover. **Independent Students:** Complete Section II only. Mark the correct box in each section and enter the amount of the rollover, if applicable. Submit both this form (fully completed and signed/dated) and, *only if not previously submitted*, a complete copy of the relevant federal tax return for 2020, including any Form 1099-R you may have received showing a distribution from a retirement account.

Forms received without the appropriate signature(s) or with missing information or missing pages are considered incomplete and must be resubmitted. This will delay the evaluation of your financial aid eligibility and the awarding of your financial aid.

**Section I: PARENT(s)'s ROLLOVER** (For *dependent* students only. *Independent* students: skip to Section II on the next page.)

If a parent's retirement plan rollover was reported on your 2022-2023 application for aid, a **copy of your parent(s)'s complete 2020 federal tax return is now required (if not already submitted), including any Form 1099-R showing a distribution from a retirement account.** Regardless of whether or not you've already submitted the requested tax return, please have your parent complete the following statements, as appropriate:

I/we *did* roll over retirement funds into another qualified retirement plan for 2020. **Amount of rollover:** \_\_\_\_\_

I/we did *not* roll over retirement funds into another qualified retirement plan for 2020.

**CERTIFICATION STATEMENT:** I certify that all the information reported to qualify for federal aid is complete and correct to my knowledge. If additional documentation is required, I will submit those documents in a timely manner. I understand that if I purposely give false or misleading information, I may face legal consequences and my financial aid may be terminated.

PARENT SIGNATURE \_\_\_\_\_ Date \_\_\_\_\_



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Retirement Plan Rollover Form
2022-2023

Student's University ID: [ ] [ ] [ ] [ ] - [ ] [ ] [ ] [ ] [ ] or SIS ID: [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]

Student's Full Name: \_\_\_\_\_
(LLEGAL NAME - PRINT) first middle last

Section II: STUDENT's and/or, if married, STUDENT's SPOUSE's ROLLOVER (For both dependent and independent students.)

If you and/or your spouse had a retirement plan rollover that was reported on your 2022-2023 application for aid, a copy of your/your spouse's complete 2020 federal tax return is now required (if not already submitted), including any Form 1099-R showing a distribution from a retirement account. Regardless of whether or not you've already submitted the requested tax return, please complete the following statements, as appropriate:

- [ ] I/we did roll over retirement funds into another qualified retirement plan for 2020. Amount of rollover: \_\_\_\_\_
[ ] I/we did not roll over retirement funds into another qualified retirement plan for 2020.

CERTIFICATION STATEMENT: I certify that all the information reported to qualify for federal aid is complete and correct to my knowledge. If additional documentation is required, I will submit those documents in a timely manner. I understand that if I purposely give false or misleading information, I may face legal consequences and my financial aid may be terminated.

STUDENT SIGNATURE \_\_\_\_\_ Date \_\_\_\_\_